1

2621

ATTORNEY DOCKET NO.:

00249-0099

CUSTOMER NUMBER:

27155

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In repatent application of:

RECEIVED

GATTI, Claudio et al.

NOV 2 6 2001

Technology Center 2600

Serial No.:

09/909,900

Group Art Unit:

Filed:

July 23, 2001

Examiner:

Not Yet Assigned

Title: INTERACTIVE SCULPTING FOR VOLUMETRIC EXPLORATION AND

FEATURE EXTRACTION

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith.

Pursuant to 35 USC §120, this application relies on the earlier filing date(s) of the following prior application(s):

Serial Number

Filing Date

PCT/CA001/00043

January 21, 2000

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Respectfully submitted,

Date: November 20, 2001

Registration No. 46,414

Agent/Attorney for Applicant

ORANGE & CHARI Suite 4900, P.O. Box 190 66 Wellington St. W. Toronto, Ontario M5K 1H6 Canada

Tel: (416) 601-8440 Fax: (416) 601-8454

TRAI		FORMATION DISCLO r 37 CFR 1.97(b) or 1.9		Docket No. 00249-0099	
In Re Ap	oplication Of:	I, Claudio et al.			
	Seria No.	Filing Date	Examiner	Group Art Unit	
0	9/909,0007 & TRADE	July 23, 2001	Not Yet Assigned	2621	
Title: INTER	ACTIVE SCULPTING	FOR VOLUMETRIC EXP	PLORATION AND FEATURE		
		Assistant Con Washing	nmissioner for Patents gton, D.C. 20231	RECEIVED NOV 2 6 2001 Technology Center 2600	
1. 🗵	of a national application three months of the dapplication; before the	osure Statement submitted ion other than a continued date of entry of the national mailing of a first Office Ac	CFR 1.97(b) If herewith is being filed within the prosecution application unde I stage as set forth in 37 CFR option on the merits, or before the examination under 37 CFR 1.17	three months of the filing er 37 CFR 1.53(d); within t 1.491 in an international he mailing of a first Office	
		37 C	CFR 1.97(c)		
2.	CFR 1.97(b), provider Final Action under 3	d that the Information Disc 7 CFR 1.113, a Notice o	d herewith is being filed after to closure Statement is filed before of Allowance under 37 CFR and is accompanied by one of:	ore the mailing date of a 1.311, or an Action that	
	☐ the statement s	specified in 37 CFR 1.97(e)	•		
		OR			
	☐ the fee set forth	n in 37 CFR 1.17(p).			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. 00249-0099 (Under 37 CFR 1.97(b) or 1.9 In Re Application: GATTI, Claudio et al. Filing Date Examiner Group Art Unit Serial No. 2621 09/909,900 Not Yet Assigned July 23, 2001 INTERACTIVE SCULPTING FOR VOLUMETRIC EXPLORATION AND FEATURE EXTRACTION Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 150633 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of \mathbf{X} Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: November 20, 2001 Jeffrey Wong, Reg. 46,414 **ORANGE & CHARI** Suite 4900, P.O. Box 190 66 Wellington St. W. Toronto, Ontario M5K 1H6 Canada Tel: (416) 601-8440 Fax: (416) 601-8454 CC:

Please type a plus sign ((+) incide thic hav
r lease type a plus sign i	(') II ISIUG II IIS DOX

ease type a plus sign (+) inside this box 🔸 📙		PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031
Under the Paperwork Reduction Act of 1995, no perso	ns are response	Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to a collection of information unless it contains a valid OMB control number.

	Substitu	ite for form 1449A/PT	0	SATENT & TRA	c.	omplete if Known	•	,	
					Application Number	09/909,900			
	INF	ORMATION	Dis	SCLOSURE	Filing Date	July 23, 2001			
	STA	TEMENT E	3Y A	PPLICANT	First Named Inventor	GATTI, Claudio et al.			
					Group Art Unit	2621			
		(use as many she	eets as	necessary)	Examiner Name	Not Yet Assigned			
_	Sheet	1	of	2	Attorney Docket Number	00249-0099			

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent Document Kind Code² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		5,570,460	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Ramanujam	10/29/1996				
	•	5,201,035		Stytz et al.	04/06/1993	RE			
						Ma CELLA			
						PECEIVED Technology Center 260c			
	•					10000 COO1			
			*			- CENTER SEC			
						-000			

				FOR	EIGN PATENT DOCUMEN	TS		·
Examiner Initials*	Cite No.1	Kind Codes Applicant of Cited Document Cited Doc				Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
			GB 2231759A		United Kingdom	11/21/1990		
		ļ				ļ		<u> </u>
						 		┼─
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					\vdash
		<u> </u>						┼
						 		\vdash
						† · †		1

Examiner	Date
Signature	Considered

¹ Unique citation designation number. See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box → + Under the Paperwork Reduction Act of 1995, no person	1 , 2 1	Patent and	Approved for use through 10/31 d Trademark Office: U.S. DEPARTI information unless it contains a val	MENT OF COMMERCE	+
Substitute for form 1449B/PTO	PAIENT	*OFF;	Complete if Known	* * * *	١
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains Substitute for form 1449B/PTO INFORMATION DISCLOSURE Filing Date July 23, 2001	ber 09/909,900				
I INFORMATION DISCLO	DSURE	Filing Date	July 23, 2001		
I STATEMENT BY ADDI	IC A NIT	First Named Inve	entor GATTI, Claudio et al.		

(use as many sheets as necessary)

of

2

Sheet

Signature

Group Art Unit

Examiner Name

Attorney Docket Number

2621

Not Yet Assigned

00249-0099

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Richardson A.E. et al.: "A Volumetric System for Interactive Three-Dimensional Design" - Journal of Imaging Technology Vol. 17, No. 4. 1 August 1999, pgs 188-194.	
	/	Wang S.W. et al.: "Volume Sculpting" - Proceedings of the Symposium on Interactive 3D Graphics 1995, pgs 151-156.	
	/	Ney D.R. et al.: "Volumetric Rendering of Computed Tomography Data: Principles and Techniques" - IEEE Computer Graphics and Applications Vol. 10, No. 2, March 1, 1990, pgs 24-32.	
	/	Robb R.A. et al.: "Interactive Display and Analysis of 3-D Medical Images" - IEEE Transactions on Medical Imaging, Vol. 8, No. 3, 1989, pgs 217-226.	
	/	Raya S.P. et al.: "Shape-Baased Interpolation of Multidimensional Objects: - IEE Transactions on Medical Imaging, Vol. 9, No. 1, 1990, pgs 32-42.	
		in the second	
		Mobal Co So The	
		Imaging, Vol. 9, No. 1, 1990, pgs 32-42.	
			_
Examine		Date	•

Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.